

# Singapore Sport Institute – Institutional Review Board

Information Sharing & Recent Updates

24 April 2020



LIVE BETTER THROUGH SPORT

### SSI INSTITUTIONAL REVIEW BOARD SECRETARIAT

### **Secretariat Team**

Dr. Frankie Tan

<u>Advisor, ex-Officio</u> Singapore Sport Institute Ms. Ning Li

<u>Advisor</u> Singapore Sport Institute

Mr. Chow Kin Ming

<u>Permanent Secretariat Member</u> Physiology Laboratory Officer Singapore Sport Institute

Mr. Desmond Boey

Member (Out-going: Sept 2020) Sport Biomechanist Singapore Sport Institute

Mr. Kelvin Chua

<u>Member</u> Strength & Conditioning Coach Singapore Sport Institute Ms. Goh Wan Xiu

Member Sport Biomechanist Singapore Sport Institute

Ms. Andrea Chen

Member (In-coming)
Sport Physiotherapist
National Youth Sports Institute





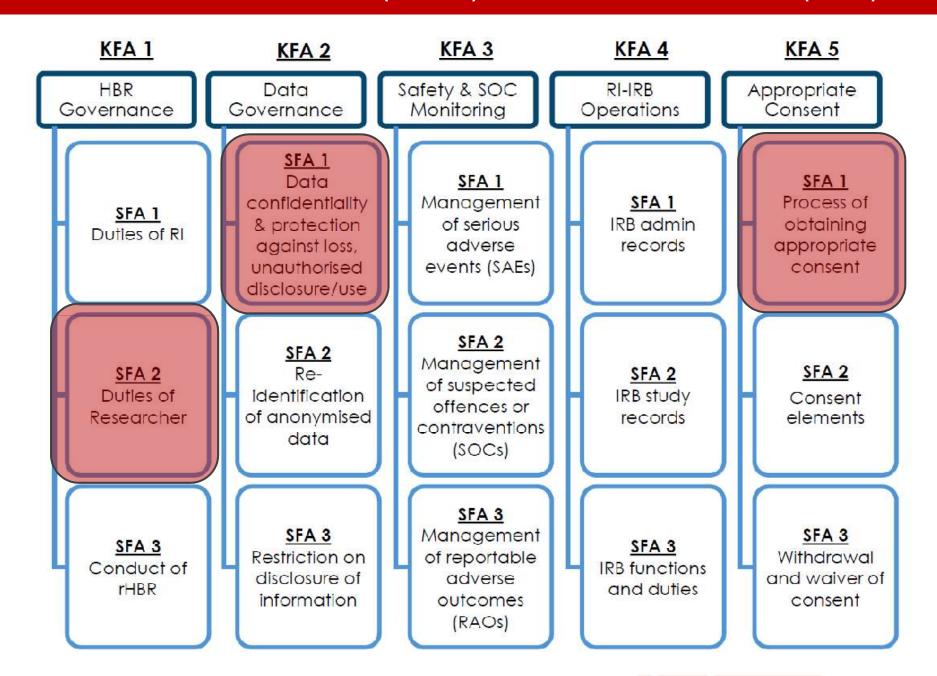
### AGENDA

- Key Focal Areas for Human Biomedical Research (HBR)
- 2. Duties of Researchers
  - Review Process
  - Risk level
  - Application forms
  - Data Management, Security and Governance
  - Research Collaboration Agreements (RCA)
- 3. Appropriate Consent





## MINISTRY OF HEALTH (MOH) - KEY FOCAL AREAS (KFA)



### ROLE OF SSI-IRB & SECRETARIAT

- Reviews ethics for studies conducted in SSI and NYSI
- Registered under MOH as a research institute that conducts Human Biomedical Research (HBR)





## HUMAN BIOMEDICAL RESEARCH (HBR) CLASSIFICATION

### What determines if a study is classified as HBR?

### Does the **PURPOSE** of the study involve:

- The **prevention**, **prognostication**, **diagnosis** or alleviation of any disease disorder or injury affecting the human body; or
- The restoration, maintenance or promotion of aesthetic appearance of human individuals through clinical procedures or techniques; or
- The performance or endurance of human individuals

### Does the study **METHODOLOGY** involve:

- Subjecting an individual to any intervention that has a physical, mental or physiological effect on the body of the individual; or
- The use of any individually-identifiable biological material obtained from the human body; or
- The use of any individually-identifiable health information





# SINGAPORE SPORT INSTITUTE INSTITUTIONAL REVIEW BOARD



- Application Form for IRB Review

Kindly fill in ALL fields except for those that are (highlighted); do NOT leave any blanks. Enter 'NA' or 'NIL' should you not wish to enter any content.

SECTION 1: GENERAL IN	FORMATION	
IRB Reference Number (Secretariat Use):	Should be filled up when sent t	o reviewers [e.g. PS-EXP-123]
Prior Ethics Approval:	☐ YES / ☐ NO  If YES, kindly attach Letter or Certificate of Approval and other details, where applicable.	
Title of Project:		
Principal Investigator (PI):		Must have signature! Signature
Designation:		
Department / Division / Organisation:		
Contact Details (Tel / Email):		<i>y</i>
		Must have all signatures!
		Signature





#### 4.2. Justification of Data Collected:

Describe what the data/samples collected will be used for, if they are to be stored (tissue, blood), for how long, and reasons why those parameters were selected

Where no physical tissue is collected: What are the variables tested, and why?

#### 4.3. Harmful Substances:

Where applicable, state if the participant will be exposed to any harmful substances, i.e. radioactive, potentially life-threatening or dangerous substances

#### 4.4. Confidentiality of Information:

Describe how samples are de-identified; who will have access to participant data, samples, videotapes and photographs, if applicable; describe what happens to information after project is completed and where it will be stored. A minimum of 7 years is required for research data to be stored from completion of study or publication of research data, whichever is later. Please indicate where and for how long your data will be stored for.

Self-explanatory

#### 4.5. Special Populations:

Kindly indicate if your research involves any of the following vulnerable populations of individuals including young children, prisoners, pregnant women, and the mentally-challenged

Indicate if there is any of the above highlighted population, or none

#### SECTION 6: RISK MITIGATION AND REPORTING

6.1. Describe the measures taken to mitigate the risks faced by the participants resulting from the conduct of the study:

For risks or potential adverse events mentioned in Section 5.3.2, list down control or preventive measures as to how such risks can be minimised

Application will be returned if this is not explicitly explained PARTICIPANTS' SAFETY IS OF UTMOST IMPORTANCE

6.2. Describe the procedures for handling and reporting of any serious incidents / events: <u>In the event that</u> serious incidents do happen, how will it be handled? What will the reporting structure be? (i.e., onsite research team reports to PI, PI report to SSI-IRB Secretariat) Please briefly state the reporting guidelines:

> Application will be returned if this is not explicitly explained PARTICIPANTS' SAFETY IS OF UTMOST IMPORTANCE





SECTION 7: CLASSIFICATION OF STUDY AS HUMAN BIOMEDICAL RESEARCH	7.2. METHODOLOGY  If unsure, tick the one that makes more sense  a. Does your research involve subjecting an individual to any intervention
This section serves as a guideline to determine whether your study fulfils Ministry of Health's criteria for Human Biomedical Research (HBR).	(including any wilful act or omission) that has a physical, mental or physiological effect (whether temporary or permanent) on the body of the individual?
7.1. PURPOSE	□ YES / □ NO
If unsure, tick the one that makes more sense  a. Is your research intended to study the prevention, prognostication, diagnosis or alleviation of any disease, disorder or injury affecting the human body?	b. Does your research involve the use of any individually-identifiable¹ human biological material?
□ YES / □ NO	□ YES / □ NO
b. Is your research intended to restore, maintain or promote the aesthetic appearance of human individuals through clinical procedures or techniques?	c. Does your research involve the use of any individually-identifiable health information?
□ YES / □ NO	□ YES / □ NO
c. Is your research intended to study the performance or endurance of human individuals?	I. Individually-identifiable means that the individual can be identified from the health information being collected or the biological material.      Health information refers to information that was obtained in the course of providing healthcare services or relating to the study of
□ YES / □ NO	disease, disorder or injury.



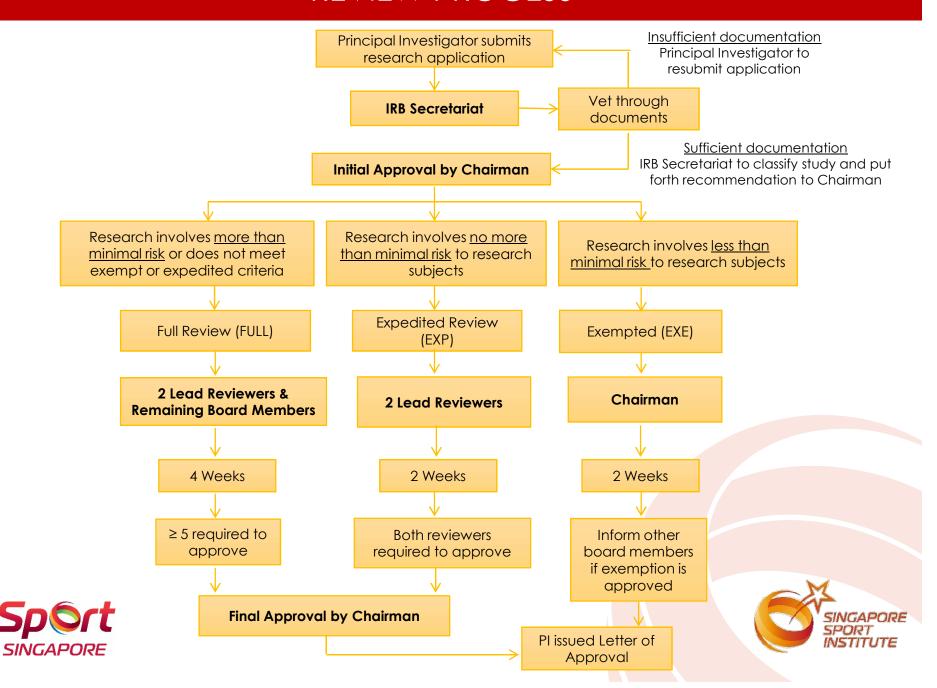


		SECTION 11: APPLICATION FOR IRB REVIEW
7.3. DAILY TR	AINING RISK	
usual trainin  7.3.1. Please a.	ture or intervention used in your research study part of the participant's g or servicing routine?  YES (proceed to 7.3.1) / NO (proceed to Section 8)  Provide substantiation (e.g., training plans or servicing reports) that shows: The procedure or intervention is part of the participant's regular training routine (attach as an annex if needed).	11.1. The completed Form is in application for (check the appropriate box):  Full Review by the SSI Institutional Review Board  [Study involves more than minimal risk to participants]  Expedited Review by the SSI Institutional Review Board  [Study involves no more than minimal risk to participants]
	Attach training program	☐ Exemption from Review by the SSI Institutional Review Board [Study involves less than minimal risk to participants]
	The procedure or intervention does not increase the participant's level of risk above what he/she is normally exposed to on a regular basis.	11.2. This research is classified as Human Biomedical Research (HBR) (please provide substantiation why – e.g., risk level) (Secretariat Use)  □ YES
	Provide justification based on training program attached	L TES
		☐ NO  Yes or No, provide substantiation. Bullet points will suffice





### REVIEW PROCESS



### EXPEDITED REVIEW & EXEMPT FROM REVIEW

- Determined by the level of risk faced by participants
- Whether there is more, less, or equal to daily amount of risk faced by target participant group?

















## INCIDENT REPORTING - SEVERE ADVERSE EVENTS (SAE)



OR BIRTH DEFECTS

HOSPITALIZATION

#### SINGAPORE SPORT INSTITUTE

Activate Medical Emergency Action Plan:

Call <u>4500 5450</u> for help Administer first-aid/CPR-AED Wait for assistance

#### OTHER RESEARCH SITES

Carry out respective Emergency Action Plans



#### **INCIDENT REPORT**

Once the event is under control:

Contact SSI-IRB Secretariat immediately
Fill up and submit the SAE Reporting
Form\* within 24 hrs

#### POST EVENT ASSESSMENT

It is the role of the Principal Investigator to:

Re-assess the conduct of the research study Formulate corrective and preventive action plans Provide timely updates to SSI-IRB Secretariat



OR INCAPACITY



## INCIDENT REPORTING – SEVERE ADVERSE EVENTS (SAE)

#### SUSPECTED OFFENCES OR CONTRAVENTIONS

An offence is committed in the event of any breaches as follows:



Commencement of research prior to obtaining approval from SSI-IRB



Carrying out research without informed consent from participants



Research protocol deviating from approved study design without re-approval



Intentional or non-intentional disclosure of identifiable data without consent

#### INCIDENT REPORT

Researchers who encounter, or are found guilty of committing any SOCs should:

Report to SSI-IRB immediately

Fill up and submit the SOC Reporting Form\* within 24 hr of notification

\*Download form from Sharepoint or contact Secretariat

#### **POST EVENT ASSESSMENT**



An outcome will be made based on the inquiry. In general, Principal Investigator(s) will have to:

Receive outcome of study (i.e., suspended, etc.) Formulate corrective and preventive action plans Provide timely updates to SSI-IRB Secretariat





### DATA MANAGEMENT & SECURITY

Document Classification: CO

Participant Name Peter Tan

> Mary Lee John Ang Joseph Goh

Tan Ah Lian Nicholas Lee

Serial

002

005

### 1. Data Collection

•	All data should be <b>anonymised</b> immediately during
	data collection (i.e. 001, 002, etc.)

•	Store the identification data in a password-protected
	document

## 2. Data Storage and Maintenance

- No storage of identifiable data on personal devices; to be stored within encrypted thumb-drive during data collection phase
- Upon completion of data collection, only the appointed SSI-IRB Data Management Officer (DMO) has access to the identification data document unless PI seeks approval from secretariat team
- All data and documents pertaining to the research to be stored in locked SSI-IRB research cabinet for a minimum of 7 years, accessed via the DMO



## RESEARCH COLLABORATION AGREEMENT (RCA)

- RCA for multi-party research
  - To define clear roles and responsibilities for Research Institutes
     (RI) participating in the same research study
- Procedure for RCAs (SportSG)
  - Fill up template provided by Secretariat
  - Consult with SportSG Legal dept
  - Send to other institute to agree and sign
  - Keep signed copy in research file
- Procedure for other parties
  - Refer to your research coordinator

#### GREE AS FOLLOWS:

### PROJECT

irties wish to undertake a research project titled "[INSERT TITLI pt"), the details of which are set out in Clause 1 of Appendix A

presentative directing SportSG's activities on the project shal tSG Investigator"). The representative directing XXXX'S act [INSERT NAME] ("XXXX Investigator"). The SportSG and XX nate the activities with [INSERT NAME] ("XXXX Co-Investight ("SportSG Co-Investight ("SportSG Co-Investigator") to form the research team (the





### CONSENT TAKING GUIDELINES

- Participant's consent must be taken before <u>any</u> form of data collection.
- Consent must be:
  - In writing
  - From the participant personally<sup>1</sup>
  - After the study has been explained clearly to them (methodology, risks, benefits)
  - In the presence of a witness<sup>2</sup>

- With the exception of adults who lack mental capacity. For minors, consent of parent / legal guardian as well as consent of minor is required.
- 2. For studies classified as Human Biomedical Research (HBR).





### CONSENT TAKING GUIDELINES

- Encourage proper consent even during routine servicing activities
  - Usage of <u>'General consent form'</u> during normal servicing activities, if intending to use data for future research
- Consent taking for minors
  - Both <u>consent</u> of parent / legal guardian, as well as <u>assent</u> of the minor is required

If the participant is below 21 years of age on date of consent taking:

Both the consent of the parent / legal guardian, then the assent of the minor <u>must</u> be

STEP 1: Use the most updated Consent form templates from the SSI-IRB and ensure SSI-IRB has approved your consent forms.

Data collection has not started (Prospective Study):

Use Informed Consent Form (Specific)

Data collection has started / completed (Retrospective Study):

Use Informed Consent Form
(General)\* (to get consent before data
collection starts)

**STEP 2**: Start recruitment of participants <u>after SSI-IRB</u> has approved your application.

STEP 3: Meet up with participant in person to explain the Participant Information Sheet.

Methodology is interventional and / or invasive:

Witness is required to be present.

Methodology is not interventional or invasive:

Witness is not required to be present.

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STEP 4: Consent Taker, Participant (and Witness) to sign <u>two</u> copies of Consent Form. Pass one copy to the participant for his own keeping.

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## Any doubts to clarify?



